

**Lafayette UCITS ICAV  
(the “ICAV”)  
Dalton Asia Pacific UCITS  
Fund (the “Fund”)**

**Administrator details:**

**Northern Trust International Fund Administration Services (Ireland) Limited  
Northern Trust Registration Department, 2nd Floor, Block A, City East Plaza,  
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## TABLE OF CONTENTS

<b>APPLICATION FORM</b> .....	3
<b>Appendix 1 - Investor Tax Declarations</b> .....	11
<b>Appendix 2 – Self-Certification for FATCA and CRS</b> .....	15
<b>Part A - To be completed by Corporate/Institutional investors only</b> .....	15
<b>Part B – To be completed by Individual Investors and relevant Controlling Persons</b> .....	21
<b>APPENDIX 3 – Data Protection Notice</b> .....	25
<b>APPENDIX 4 – Fund Subscription Account Details</b> .....	27



<sup>1</sup> Unless specified, correspondence will only be sent to you in electronic form.

## Investment Details – to be completed by ALL investors

### Select Share Class(es)

Please tick (✓) in the “Select” column against the Share Class(es) in which the investment(s) will be made

Share Class Name	ISIN Code	Minimum Initial Subscription	Select
Class B1 USD	IE00BFXZM447	50,000,000	
Class B1 EUR	IE00BFXZM553	50,000,000	
Class B1 GBP	IE00BFXZM660	50,000,000	
Class B2 USD	IE00BFXZM777	30,000,000	
Class B2 EUR	IE00BFXZM884	30,000,000	
Class B2 GBP	IE00BFXZM991	30,000,000	
Class B2 USD UN	IE00BKDX3X91	30,000,000	
Class A USD	IE00BFXZMB13	10,000	
Class A EUR	IE00BFXZMC20	10,000	
Class A CHF	IE00067LBN24	10,000	
Class AF Pooled Shares	IE000EO5QIY4	250,000	
Class E USD	IE00BFXZMF50	20,000,000	
Class E EUR	IE00BFXZMG67	20,000,000	
Class E CHF	IE000Z0XYXF1	20,000,000	
Class I USD	IE00BFXZMJ98	1,000,000	
Class I EUR	IE00BFXZMK04	1,000,000	
Class I GBP	IE00BFXZML11	1,000,000	
Class P USD	IE00BFXZMM28	250,000	
Class P EUR	IE00BFXZMN35	250,000	
Class P GBP	IE00BFXZMP58	250,000	





<sup>5</sup> Definitions of Related Persons, per investor type, can be found in the Application form guidance notes





If no individual has a beneficial interest of 25% or more, either directly or indirectly, of the shares or voting rights of the entity, or there is no-one that otherwise exercises control of the entity, please tick:

#### Option for cross-fund use of AML documents

**Ticking the box below confirms that the Administrator may use any due diligence documentation provided by you in association with this application for any other fund for which Northern Trust International Fund Services (Ireland) Limited**

**("Northern Trust") is the Administrator and in which you are investing**

I hereby authorize the Administrator to leverage the due diligence documentation provided for the purposes of compliance with applicable regulatory requirements across all investments which I currently hold/ may hold in the future across all funds which are administered by Northern Trust.

#### Representations and Warranties

**By signing this form, you, and any person on whose behalf this form is signed, agree the following:**

1. All investors are 18 years of age or over.
2. You are eligible to invest in the Fund and are not acquiring Shares for, or on behalf of, or for the benefit of, any person or entity who/which is not eligible to invest in the Fund nor do you intend transferring any Shares purchased to any person or entity who/which is not eligible to invest in the Fund.
3. You have received and considered a copy of the current Prospectus for the Fund, which includes the Supplement for the Fund and the most recent annual and/or semi-annual report of the Fund (if any), and the KIID for the class of Shares being subscribed for. This application is based solely on the information contained in such documentation and the terms of this Application Form.
4. The Fund and its manager, Waystone Fund Management (IE) Limited, is authorised to act in accordance with your instructions as set out in this Application Form.
5. Any future subscriptions that may be made to any other sub-fund or share class of the Fund will also be based on this confirmation. Before making any further subscriptions, you will read the most up-to-date version of the Prospectus, the relevant Supplement and the KIID for the relevant class of Shares, which will be available at the Distributor's website at [www.longchamp-am.com](http://www.longchamp-am.com).
6. The issue and allotment of the Shares is subject to the provisions of the Prospectus, that subscription for Shares will be governed and construed in accordance with Irish law and by subscribing for Shares, that you are not relying on any information or representation other than such as may be contained in the Prospectus and the relevant KIID.
7. The disclosures in the Prospectus are of a general nature and are based on the actual knowledge and belief of the Directors (who have taken all reasonable care to ensure that such is the case) and, to the best of the Directors actual knowledge and belief, the information contained in the Prospectus, Supplement, annual and/or semi-annual report and the KIID is in accordance with the known facts and does not omit any material facts.
8. Where you are investing as nominee, you shall provide your client(s) with the KIID issued in respect of the Fund and/or Share Class (as the case may be) and shall ensure to do so in compliance with EU Commission Regulation (EU) No. 583/2010, as amended from time to time, or by any supplemental legislation and in compliance with the applicable legislation in any jurisdiction in carrying out this activity. You shall maintain records of KIID provision to your clients and shall furnish such records to the Fund, or its delegates, upon request.
9. You have sufficient knowledge and experience in business and financial matters or have obtained advice from a professional adviser such that you are capable of evaluating the merits, and the risks, of an investment in the Fund.
10. The risk and tax disclosures in the Prospectus are of a general nature and are not intended to address individual circumstances or how they might affect the tax consequences of any purchase of Shares. These disclosures should not be relied on to determine the appropriateness and suitability of an investment in Shares for you and you have undertaken your own evaluation and obtained advice as needed on such matters.
11. You are able to bear the economic risk of an investment in the Shares, including, without limitation, the loss of all or part of the investment. You do not have an overall commitment to investments which are not readily marketable that is disproportionate to your net worth, and your investment in the Shares will not cause such overall commitment to be excessive.
12. The Fund has the right at any time to require the mandatory redemption of Shares in the circumstances

provided for in the Prospectus.

13. The Fund, the Manager and the Administrator are each hereby authorised and instructed to accept and execute any instructions, in respect of the Shares to which this application relates, given by you in written form or by facsimile. You hereby further agree to indemnify the Fund against any loss of any nature whatsoever arising as a result of the Fund, the Manager or the Administrator acting upon such instructions. The Fund, the Manager and the Administrator may rely conclusively upon, and shall incur no liability in respect of, any action taken upon any notice,

consent, request, instruction or other instrument believed in good faith to be genuine or to be signed by properly authorised persons.

14. Due to anti-money laundering requirements operating within Ireland, the Fund, the Manager or the Administrator may require further identification of the applicant(s) before the application can be processed and the Fund shall be held harmless and indemnified against any loss arising as a result of a failure to process the application, or a delay in processing any redemption requests, if such information requested by the Fund, the Manager or the Administrator has not been provided by you or has been provided in incomplete form.
15. Any failure to provide complete identification and verification documentation at the account opening stage will result in your account being blocked for redemptions pending receipt of the outstanding documentation. You acknowledge that you may be classified as a non-compliant investor. Furthermore, where such documentation remains outstanding for 120 days from the date of the initial subscription you acknowledge that all transactions including additional subscriptions will be blocked pending receipt of the outstanding documentation. You accept that the Fund may ultimately suspend your account and discontinue any business relationship with you in the event of a continuing failure to provide the required documents.
16. Any failure to provide complete identification and verification documentation upon request at any other stage during the course of the business relationship will result in your account being blocked for redemptions pending receipt of the outstanding documentation. You acknowledge that you may be classified as a non-compliant investor. Furthermore, where such documentation remains outstanding for 120 days from the date of the initial request for documentation all transactions, including additional subscriptions, will be blocked pending receipt of the outstanding documentation. You accept that the Fund may ultimately suspend your account and discontinue any business relationship with you in the event of a continuing failure to provide the required documents.
17. The Fund shall have no obligation to carry out any investigation with respect to the truth, accuracy or completeness of any information provided by you and you agree to indemnify the Fund against any loss of any nature whatsoever arising to you as a result of any breach or inaccuracy in any of the statements made by you in this Application Form. You authorise the Fund, the Manager and the Administrator to the Fund to act upon such information in good faith, including, but not limited to, disclosing or submitting such information to the Irish tax authorities. You agree to waive any provision of foreign law that would, absent such a waiver, prevent compliance with such requirements.
18. You will notify the Fund, the Manager or the Administrator immediately if any of the representations, declarations or warranties given by you in this Application Form no longer accurate and complete in all respects and agree immediately to take such action as the Fund may direct including, where appropriate, redemption of your entire holding.
19. You will provide to the Fund, the Manager and the Administrator, at such times as each of them may request such, declarations, certificates, self-certification forms, withholding statements or documents as each of them may reasonably require (the "**Tax Information**") in connection with this investment (including for the purposes of tax, FATCA and/or CRS regulations and reporting requirements). Should any information furnished to any of the above become inaccurate or incomplete in any way, you hereby agree to notify the Fund, the Manager or the Administrator immediately of any such change and further agree to request the redemption of Shares in respect of which such confirmations have become incomplete or inaccurate where requested to do so by the Fund.
20. If you fail to provide any information and such failure results in the Fund being unable to comply with any withholding or reporting obligations it may have under Irish tax regulations, including those implementing FATCA and CRS requirements, the Fund may exercise its right to deduct amounts of tax due or to redeem your Shares, in whole or in part, at any time upon any or no notice. You further acknowledge and agree to indemnify the Fund and its other investors for any losses resulting from your failure to meet obligations to provide required information to the Fund, including for the cost of any U.S. withholding tax or other penalties imposed on the Fund.
21. Any notice or document may be served by the Fund, the Manager or the Administrator on you in the manner specified from time to time in the Prospectus. For the purposes of the Electronic Commerce Act 2000, if you have provided an e-mail address or fax number to the Fund or its delegate, you consent to any such notice or document being sent to you by fax, or electronically to the e-mail address or fax number you have provided, and you acknowledge this will constitute effective receipt by you of the relevant notice or document. You acknowledge that you are not obliged to accept electronic communication and may at any time choose to revoke your agreement to receive communications by fax or electronically by notifying the Fund, or the Administrator on its behalf, in writing provided that your agreement to receive communications by fax or electronically shall remain in full force and effect pending receipt by the Fund of written notice of such revocation.
22. In respect of joint applicants, on the death of one of the Shareholders the Shares for which you hereby apply be held in the name of, and to the order of, the survivor or survivors or the executor or administrator of the last of such survivor or survivors.



## Appendix 1 - Investor Tax Declarations

### Declaration of Residence outside of Ireland – ALL non-resident Irish Tax investors

Applicants resident outside Ireland are required by the Irish Revenue Commissioners to make the following declaration, which is in a format authorised by them, in order to receive payment without deduction of tax. It is important to note that this declaration, if it is then still correct, shall apply in respect of any subsequent acquisitions of Shares.

**Declaration on own Behalf**

I/we\* declare that I am/we are\* applying for the Shares on my own/our own behalf/on behalf of a company\* and that I am/we are/the company\* is entitled to the Shares in respect of which this declaration is made and that;

- I am/we are/the company is\* not currently resident or ordinarily resident in Ireland; and
- Should I/we/the company\* become resident in Ireland I will/we will\* so inform you in writing, accordingly.

\*Delete as appropriate

**Declaration as Intermediary**

I/we\* declare that I am/we are\* applying for Shares on behalf of persons:

- Who will be beneficially entitled to the Shares; and
- Who, to the best of my/our\* knowledge and belief, are neither resident nor ordinarily resident in Ireland.

I/we\* also declare that;

- Unless I/we\* specifically notify you to the contrary at the time of application, all applications for Shares made by me/us\* from the date of this application will be made on behalf of such persons; and
- I/we\* will inform you in writing if I/we\* become aware that any person, on whose behalf I/we\* hold Shares, becomes resident in Ireland

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Name and address of applicant

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Signature of applicant or authorised signatory (declarant)

Capacity of authorised signatory

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Date:

**2<sup>nd</sup> Holder – (joint applicants only)**

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Name

Signature

**IMPORTANT NOTES :**

1. Non-resident declarations are subject to inspection by the Irish Revenue Commissioners and it is a criminal offence to make a false declaration.
2. To be valid, the application form (incorporating the declaration required by the Irish Revenue Commissioners) must be signed by the applicant. Where there is more than one applicant, each person must sign. If the applicant is a company, it must be signed by the company secretary or another authorised officer.
3. If the application form (incorporating the declaration required by the Irish Revenue Commissioners) is signed under power of attorney, a copy of the power of attorney must be furnished in support of the signature



**Declaration of Residence within Ireland – Irish Tax Resident entities only**

**Investor Type (please tick the relevant type below)**

- |   |                          |
|---|--------------------------|
| Pension Scheme  | <input type="checkbox"/> |
| Company carrying on life business within the meaning of section 706   | <input type="checkbox"/> |
| TCA 1997 Investment undertaking   | <input type="checkbox"/> |
| Special investment scheme   | <input type="checkbox"/> |
| Unit trust to which section 731(5) (a) TCA 1997 applies   | <input type="checkbox"/> |
| Charity (being a person referred to in section 739D(6)(f)(i) TCA 1997)  | <input type="checkbox"/> |
| Qualifying management company   | <input type="checkbox"/> |
| Specified company   | <input type="checkbox"/> |
| Credit union to which Section 2 of the Credit Union Act, 1997 applies   | <input type="checkbox"/> |
| Entitled to exemption from income tax and capital gains tax by virtue of sections 784A(2), and 787I of the TCA 1997, (see further requirements for Qualifying Fund Manager below) | <input type="checkbox"/> |

**Declaration referred to in Section 739D(6) Taxes Consolidation Act, 1997:** I declare that the information contained in this declaration is true and correct. I also declare that I am applying for the Shares on behalf of the applicant named below who is entitled to the Shares in respect of which this declaration is made and is a person referred to in Section 739D (6) of the Taxes Consolidation Act, 1997, being a person who is (please tick as appropriate):

**Additional requirement where the declaration is completed on behalf of a pension scheme, a company carrying on life business within the meaning of section 706 TCA 1997, an investment undertaking, a special investment scheme, a unit trust to which section 731(5) (a) TCA applies, a qualifying management company or a credit union to which Section 2 of the Credit Union Act 1997 applies:**

I undertake that, in the event that the Shares cease to be assets of the \*pension scheme/company carrying on life business within the meaning of section 706 TCA 1997/investment undertaking/special investment scheme/unit trust to which section 731(5) (a) TCA applies/qualifying management company/credit union to which Section 2 of the Credit Union Act 1997 applies, including a case where the Shares are transferred to another such entity, I will, by written notice, bring this fact to the attention of the investment undertaking accordingly. (\*Delete as appropriate)

**Additional requirements where the declaration is completed on behalf of a Charity**

I also declare that at the time of making this declaration, the Shares in respect of which this declaration is made are held for charitable purposes only and; Form part of the assets of a body of persons or trust related by the Revenue Commissioners as a body or trust established for charitable purposes only, or are, according to the rules or regulations established by statute, charter, decree, deed of trust or will, held for charitable purposes only and are so treated by the Revenue Commissioners.

I undertake that, in the event that the person referred to in paragraph (7) (d) of Schedule 2B TCA 1997 ceases to be a person referred to in Section 739D(6)(f)(i) TCA 1997, I will by written notice, bring this fact to the attention of the investment undertaking accordingly.

**Additional requirements where the declaration is completed by a Qualifying Fund Manager**

I also declare that at the time this declaration is made, the Shares in respect of which this declaration is made; Are assets of an \*approved retirement fund/an approved minimum retirement fund, and are managed by the declarant for the individual named below who is beneficially entitled to the Shares.



**Declaration of Residence within Ireland  
(cont'd)**

I undertake that, if the Shares cease to be assets of the \*approved retirement fund/the approved minimum retirement fund, including a case where the Shares are transferred to another such fund, I will, by written notice, bring this fact to the attention of the investment undertaking accordingly. (\* Delete as appropriate)

**Additional requirements where the declaration is completed by an Intermediary**

I/we\* declare that I am/we are\* applying for Shares on behalf of persons who

- to the best of my/our\* knowledge and belief, have beneficial entitlement to each of the Shares in respect of which this declaration is made; and
- is a person referred to in section 739D(6) TCA 1997.

I/we\* also declare that

- unless I/we\* specifically notify you to the contrary at the time of application, all applications for Shares made by me/us\* from the date of this application will be made on behalf of persons referred to in section 739D(6) TCA 1997; and
- I/we\* will inform you in writing if I/we\* become aware that any person ceases to be a person referred to in section 739D(6) TCA 1997. (\* Delete as appropriate)

**Name of Applicant:** \_\_\_\_\_

**Irish Tax Reference Number of Applicant:** \_\_\_\_\_

**Authorised Signatory:** \_\_\_\_\_

**Capacity in which declaration is made:** \_\_\_\_\_

**Date:** \_\_\_\_\_

**IMPORTANT NOTES:**

1. This form does not need to be completed by individuals or joint holders. It is only applicable to Irish-resident entities
2. This is a form authorised by the Revenue Commissioners which may be subject to inspection. It is an offence to make a false declaration.
3. Tax reference number in relation to a person, has the meaning assigned to it by Section 885 TCA 1997 in relation to a "specified person" within the meaning of that section. In the case of a charity, quote the Charity Exemption Number (CHY) as issued by Revenue. In the case of a qualifying fund manager, quote the tax reference number of the beneficial owner of the Shares.
4. In the case of (i) an exempt pension scheme, the administrator must sign the declaration (ii) a retirement annuity contract to which Section 784 or 785 applies, the person carrying on the business of granting annuities must sign the declaration (iii) a trust scheme, the trustees must sign the declaration. In the case of a charity, the declaration must be signed by the trustees or other authorised officer of a body of persons or trust established for charitable purposes only within the meaning of Sections 207 and 208 TCA 1997. In the case of a company, the declaration must be signed by the company secretary or other authorised officer. In the case of a unit trust it must be signed by the trustees. In any other case, it must be signed by an authorised officer of the entity concerned or a person who holds a power of attorney from the entity. If the latter, a copy of the power of attorney should be furnished in support of this declaration.









obtain a TIN) **Reason C** – No TIN is required. (Note: This should only be selected if the domestic law of the relevant country/jurisdiction does not require the collection of the TIN issued by such country/jurisdiction)

**\*Section 5: Entity’s CRS Classification**

Please note an Entity's CRS classification may differ from its FATCA classification. In addition, the information that the Entity has to provide may differ depending on whether it is resident in a participating or non-participating CRS Jurisdiction. For more information please see the OECD CRS Standard and associated commentary. <http://www.oecd.org/tax/automatic-exchange/common-reporting-standard/common-reporting-standard-and-related-commentaries/#d.en.345314>.

**5.1 Financial Institutions under CRS:**

If the Entity is a *Financial Institution*, **Resident in either a Participating or Non-Participating CRS Jurisdiction** please review and tick one of the below categories that applies **and** specify the type of Financial Institution below.

**I. A Reporting Financial Institution resident in a participating CRS jurisdiction**

**II. A Financial Institution Resident in a Non-Participating Jurisdiction (Please also tick the box that applies)**

An Investment Entity resident in a Non-Participating Jurisdiction and managed by another Financial Institution (If this box is ticked, please indicate the name of any Controlling Person(s) of the Entity in section 6 below and complete a separate individual self-certification form for each of your Controlling Persons)

An Investment Entity resident in a Non-Participating Jurisdiction that is not managed by another Financial Institution Other

Financial Institution, including a Depository Financial Institution, Custodial Institution or Specified Insurance Company

**III. Non-Reporting Financial Institution under CRS. (Please also tick the box that applies)**

Governmental Entity

International

Organization Central

Bank

Broad Participation Retirement Fund Narrow Participation Retirement Fund

Pension Fund of a Governmental Entity, International Organization, or Central Bank Exempt Collective Investment Vehicle

Trust whose trustee reports all required information with respect to all CRS Reportable Accounts Qualified Credit Card Issuer

**5.2 Non-Financial Institution (Non-Financial Foreign Entity/NFE) under CRS:**

If the Entity is *not defined as a Financial Institution under CRS*, please tick one of the below categories confirming if you are an Active or Passive NFE.

**I. Active NFE – a corporation the stock of which is regularly traded on an established securities market.**   
**Please provide the name of the established securities market on which the corporation is regularly traded**

**II. Active NFE – if you are a Related Entity of a regularly traded corporation.**   
**Please provide the name of the regularly traded corporation of which the Entity is a Related Entity**   
**Please provide details of the securities market on which the Entity is regularly traded**

**III. Active NFE – a Government Entity or Central Bank**





e





**If a TIN is unavailable**, please confirm the reason by ticking one of the options below:





## APPENDIX 3 - Data Protection Notice

The purpose of this document is to provide you with information on our use of your personal data in accordance with the EU data protection regime introduced by the General Data Protection Regulation (Regulation 2016/679, the “**Data Protection Legislation**”)

In this document, “we”, “us” and “our” refer to the Fund, the Manager and their affiliates and delegates.

If you are an individual investor, this will affect you directly. If you are an institutional investor that provides us with personal data on individuals connected to you for any reason in relation to your investment with us, this will be relevant for those individuals and you should transmit this document to such individuals or otherwise advise them of its content.

By virtue of making an investment in the ICAV and the associated interactions with the ICAV and its affiliates and delegates (including completing the Application Form, and including the recording of electronic communications or phone calls where applicable), or by virtue of providing the ICAV with personal information on individuals connected with the investor (for example directors, trustees, employees, representatives, shareholders, investors, clients, beneficial owners or agents), such individuals will be providing the ICAV and its affiliates and delegates with certain personal information which constitutes personal data within the meaning of the Data Protection Legislation.

This includes the following information relating to you and/or any individuals connected with you as an investor: name, residential address, email address, contact details, corporate contact information, signature, nationality, place of birth, date of birth, tax identification, credit history, correspondence records, passport number, bank account details, source of funds details and details relating to your investment activity. We may also obtain personal data on you from other public sources.

Where your personal data is provided to the ICAV as a consequence of an investment in the ICAV, the ICAV and the Manager will act as data controllers for the purposes of the Data Protection Legislation and the delegates of the Manager, such as the Administrator, the Distributor and the Investment Managers, will act as data processors. There may also be circumstances where a delegate of the Manager may act as a data controller in its own right.

### **Purposes of Processing and Legal Basis for processing**

- Personal data may be processed on behalf of the ICAV and the Manager and its delegates for the following purposes: to facilitate the opening of an account with the ICAV, the management and administration of a holding of Shares in a Fund and any related transactions and activities on an ongoing basis which are necessary for the administration of an investment in a Fund, including the processing of redemptions, conversions, transfers and additional subscription requests, the payment of distributions and the calculation of fees;
- as part of the monitoring and recording of calls and electronic communications for (i) the processing and verification of instructions from Shareholders and their agents, (ii) the verification of Shareholder identity and prevention of fraud against Shareholders, (iii) for the prevention, detection, investigation and prosecution of other crimes against the ICAV and its Shareholders, (iv) to enable the ICAV and its delegates to enforce or defend the legal rights of the ICAV and its Shareholders, (v) to comply with any other legal obligation imposed on the ICAV or its delegates with regard to the recording of voice or electronic communication, and (vi) to pursue the ICAV’s legitimate interests in relation to such matters;
- in order to carry out anti-money laundering checks and related actions which the ICAV or the Manager considers are required to meet any legal obligations imposed on the ICAV and the Manager and to pursue the legitimate interests of the ICAV and the interests of the public in relation to the prevention of fraud, money laundering, terrorist financing, bribery, corruption and tax evasion and to prevent the provision of financial and other services to persons who may be subject to economic or trade sanctions;
- to facilitate the reporting of tax-related information and returns to tax authorities in Ireland or the home domicile of an investor, where required to comply with a legal obligation applicable to the ICAV, and to the authorities in countries where a Fund seeks to invest where such reporting is required to open or maintain an investment account or otherwise ensure a Fund is not subject to withholding tax or other deductions in the absence of such disclosure;
- the compilation and reporting of statistical data which the ICAV or the Manager is under a legal obligation to provide in Ireland or any other country in which the ICAV or a Fund is registered for marketing purposes or in which a Fund has invested;
- for disclosure of information relating to the ICAV, a Fund or its Shareholders to other third parties, such as investment counterparties and market intermediaries, the providers of audit and tax services, systems, software and technology and regulatory authorities, in order to pursue the legitimate interests of the ICAV and its delegates in maintaining and improving the efficiency and effectiveness of the ICAV’s activities and ensuring that that ICAV, each Fund and the delegates of the ICAV remain in compliance with any regulatory



requirements to which it is subject;

- to monitor and record calls for quality, business analysis, training and related purposes in order to pursue the legitimate interests of the Fund in having its delegates monitor and improve the delivery of their services to the Fund and its shareholders;
- where requested by a Shareholder, to make available copies of material relating to the Shareholder and which is used for verification of identity and other anti-money laundering purposes to the delegates of the Manager to facilitate anti-money laundering screening in connection with other investments the Shareholder wishes to make.

#### **Recipients of Data and International Transfer of Data**

The ICAV and the Manager may disclose personal data:

- to its service providers, including the Administrator, the Distributor, the Investment Managers, their respective affiliates and other third-party service providers engaged by the ICAV or the Manager in order to process the data for the above- mentioned purposes;
- to competent authorities (including tax authorities), courts and bodies as required by law or requested or to affiliates for internal investigations and reporting.

The disclosure of personal data to the third parties set out above may involve the transfer of data to the USA and other jurisdictions outside the European Economic Area (“EEA”). Any transmission of personal data by the ICAV, Manager or its delegates outside the EEA shall be in accordance with the conditions of the Data Protection Legislation. Such countries may not have the same data protection laws that apply in the EEA. The ICAV and the Manager has authorised the Administrator (and may authorise other delegates) to transfer personal data to other countries for the purposes above only where standard contractual clauses or other safeguards permitted under Data Protection Legislation have been put in place with relevant parties to whom personal data will be transferred. The ICAV, the Manager and their delegates shall apply appropriate information security measures designed to protect personal data in its or its delegates’ possession from unauthorised access by third parties or any form of computer corruption. **Retention period**

The ICAV and the Manager will retain personal data for as long as required for the ICAV and the Manager for the purposes set out above, and to comply with any legal obligations to retain Shareholder information for a period of time after a Shareholder has ceased to hold Shares in any Fund. After this point the ICAV, the Manager and its delegates shall take appropriate steps to dispose of any records containing your personal data, to the extent this is operationally feasible and proportionate.

#### **Data Subject Rights**

Where the ICAV or the Manger holds personal data, you, as the owner of the data have the following rights, in certain circumstances, in relation to personal data:

- Right to access any personal data held by the ICAV or the Manager
- Right to have any inaccurate personal data rectified
- Right to restrict the use of personal data
- Right to request that personal data is erased
- Right to object to the processing of personal data
- Right to obtain a copy of any personal data held or request its transfer to another data controller (data portability). You also have the right to object to the processing of your data where we have considered this to be necessary for the purposes of our legitimate interests.

You should note that where the ICAV or the Manager requires information, which include personal data, to process an investment in Shares or to comply with anti-money laundering or other legal requirements, failure to provide this information means the ICAV and the Manager will not be able to accept the investment or may have to restrict the liability of a Shareholder to redeem the Shares held, to receive any dividends declared in relation to the Shares or otherwise deal with the Shares as desired.

You also have the right to lodge a complaint with the Office of the Data Protection Commissioner (the Fund’s supervisory authority) if you consider that the processing of your personal data by us infringes the Data Protection Legislation.

#### **Personal Data Requests**

Should you have any questions about the use of your personal data you may contact the Manager at [investorrelations@waystone.com](mailto:investorrelations@waystone.com)

## APPENDIX 4 - Fund Subscription Account Details

**The details in this section may be provided to your bank. Please quote the contract number provided on your contract note as reference when instructing payment**

<b>Ccy</b>	<b>Intermediary Bank Name and Address</b>	<b>ABA/Sort Code</b>	<b>SWIFT Code</b>	<b>Beneficiary Bank Name</b>	<b>Account Number</b>	<b>Beneficiary Account Name and Number</b>
<b>GBP</b>	Barclays Bank 1 Churchill Place, London E14 5HP	<b>N/A</b>	BARCGB22	The Northern Trust International Banking Corporation, New Jersey	IBAN: GB64BARC2032535352949 5	<b>Dalton Asia Pacific UCITS Fund  628347-20019</b>
<b>EUR</b>	Barclays Bank PLC Frankfurt	<b>N/A</b>	BARCDEFF	The Northern Trust International Banking Corporation, New Jersey  SWIFT: CNORUS33	0210472800	<b>Dalton Asia Pacific UCITS Fund  628339-20019</b>
<b>USD</b>	The Northern Trust International Banking Corporation New Jersey	Fedwire ABA: 026001122 CHIPS ABA: 0112	CNORUS33	<b>N/A</b>	<b>N/A</b>	<b>Dalton Asia Pacific UCITS Fund  193540-20010</b>
<b>CHF</b>	Credit Suisse AG Giesshuebelstrasse 30 CH-8070 Zurich Switzerland	<b>N/A</b>	CRESCHZZ8 0A	The Northern Trust International Banking Corporation, New Jersey	CRESCHZZ80A	<b>Dalton Asia Pacific UCITS Fund  628354-20019</b>

**For EUR Subscriptions: Please note that as a non-EURO bank, TNTIBC cannot receive or make payments through SEPA (transactions containing an IBAN). Therefore, the EUR SSIs do not contain an IBAN.**

*The state of the origin of the fund is Ireland. In Switzerland, the representative is ACOLIN Fund Services AG, Leutschenbachstrasse 50, CH-8050 Zurich, whilst the paying agent is NPB Neue Privat Bank AG, Limmatquai 1/am Bellevue, P.O. Box, CH-8024 Zurich. The prospectus, the Key Investor Information Documents, the articles of association as well as the annual and semi-annual reports may be obtained free of charge from the representative. In respect of the units distributed in or from Switzerland, the place of performance and jurisdiction is at the registered office of the representative. Past performance is no indication of current or future performance. The performance data do not take account of the commissions and costs incurred on the issue and redemption of units.*