Lafayette UCITS ICAV

(the "ICAV")

Dalton Asia Pacific UCITS

Fund (the "Fund")

Administrator details:

Northern Trust International Fund Administration Services (Ireland) Limited Northern Trust Registration Department, 2nd Floor, Block A, City East Plaza, Towlerton, Ballysimon. Limerick, Ireland V94 X2N9

Telephone: +353 1 434 5178

Facsimile: +353 1 531 8504 Version: July 2022

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APPLICATION FORM

Registration Details – to be completed by ALL investors

Name(s) in which the account should be registered (please use block capitals)

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¹ Unless specified, correspondence will only be sent to you in electronic form.													

Investment Details – to be completed by ALL investors

Select Share Class(es)

Please tick (✓) in the "Select" column against the Share Class(es) in which the investment(s) will be made

Share Class Name	ISIN Code	Minimum Initial Subscription	Select
Class B1 USD	IE00BFXZM447	50,000,000	
Class B1 EUR	IE00BFXZM553	50,000,000	
Class B1 GBP	IE00BFXZM660	50,000,000	
Class B2 USD	IE00BFXZM777	30,000,000	
Class B2 EUR	IE00BFXZM884	30,000,000	
Class B2 GBP	IE00BFXZM991	30,000,000	
Class B2 USD UN	IE00BKDX3X91	30,000,000	
Class A USD	IE00BFXZMB13	10,000	
Class A EUR	IE00BFXZMC20	10,000	
Class A CHF	IE00067LBN24	10,000	
Class AF Pooled Shares	IE000EO5QIY4	250,00 0	
Class E USD	IE00BFXZMF50	20,000,000	
Class E EUR	IE00BFXZMG67	20,000,000	
Class E CHF	IE000Z0XYXF1	20,000,000	
Class I USD	IE00BFXZMJ98	1,000,000	
Class I EUR	IE00BFXZMK04	1,000,000	
Class I GBP	IE00BFXZML11	1,000,000	
Class P USD	IE00BFXZMM28	250,00 0	
Class P EUR	IE00BFXZMN35	250,00 0	
Class P GBP	IE00BFXZMP58	250,00 0	

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Notes:

- No third party payments will be undertaken
- Full fund subscription account details will be provided in the contract note confirming your investment in the fund.
- A copy of the sub-fund subscription account details is provided in the final Appendix to this Application Form and may be sent to your bank ahead of receiving the contract note, if required.

² The Subscription Fee % and Intermediary Details only need to be completed if applicable to your investment.

³ The Subscription Fee % and Intermediary Details only need to be completed if applicable to your investment.

AML legislation in Ire	eland and will be used solely for	that purpose. Section 3 of t	he Application Form Information
Notes outlines the o	documents generally required to	meet these obligations. Add	ditional documents may also be
required by the Adm	inistrator.		
	To be comp	leted by ALL investors	
Politically Expos	sed Person⁴		
Please tick if you, or	any beneficial owners, are a Pol i	itically Exposed Person	
Related Persons ⁵	·		
		o the investor. If there are no	related persons, please state this
Name	Address	Nationality	Date of Birth
sub-fund How freque	d annual level of subscription in the ently do you expect to trade in the expected term of your investment (5-10 years 10+ years	sub-	
	To be completed by i	ndividual and joint inves	stors only
Source of Funds (fo	or this investment)		
Earnings from Emplo	oyment/Self Employment	Inheritance or Gift	
Investment Income		Matured	
Lump Sum		Investment Court	
Retirement Sale of		Award	
Property			
Other – please spec	ify		

Due Diligence

This information, and any requested AML documentation, is required to meet our AML obligations under current

⁴ A Politically Exposed Person ('PEP') means an individual (or family member or close associate of such individual) who is, or

has, at any time in the preceding 12 months, been entrusted with a prominent public function.

⁵ Definitions of Related Persons, per investor type, can be found in the Application form guidance notes	

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If no individual has a beneficial interest of 25% or more, either directly or indirectly, of the srights of the entity, or there is no-one that otherwise exercises control of the entity, please	
Option for cross-fund use of AML documents	
<u>Ticking the box below confirms that the Administrator may use any due diligence document</u> by you in association with this application for any other fund for which Northern Trust Inter	
Services (Ireland) Limited ("Northern Trust") is the Administrator and in which you are investing	
I hereby authorize the Administrator to leverage the due diligence documentation provided for compliance with applicable regulatory requirements across all investments which I currently hold/future across all funds which are administered by Northern Trust.	

Representations and Warranties

By signing this form, you, and any person on whose behalf this form is signed, agree the following:

- 1. All investors are 18 years of age or over.
- 2. You are eligible to invest in the Fund and are not acquiring Shares for, or on behalf of, or for the benefit of, any person or entity who/which is not eligible to invest in the Fund nor do you intend transferring any Shares purchased to any person or entity who/which is not eligible to invest in the Fund.
- 3. You have received and considered a copy of the current Prospectus for the Fund, which includes the Supplement for the Fund and the most recent annual and/or semi-annual report of the Fund (if any), and the KIID for the class of Shares being subscribed for. This application is based solely on the information contained in such documentation and the terms of this Application Form.
- 4. The Fund and its manager, Waystone Fund Management (IE) Limited, is authorised to act in accordance with your instructions as set out in this Application Form.
- 5. Any future subscriptions that may be made to any other sub-fund or share class of the Fund will also be based on this confirmation. Before making any further subscriptions, you will read the most up-to-date version of the Prospectus, the relevant Supplement and the KIID for the relevant class of Shares, which will be available at the Distributor's website at www.longchamp-am.com.
- 6. The issue and allotment of the Shares is subject to the provisions of the Prospectus, that subscription for Shares will be governed and construed in accordance with Irish law and by subscribing for Shares, that you are not relying on any information or representation other than such as may be contained in the Prospectus and the relevant KIID.
- 7. The disclosures in the Prospectus are of a general nature and are based on the actual knowledge and belief of the Directors (who have taken all reasonable care to ensure that such is the case) and, to the best of the Directors actual knowledge and belief, the information contained in the Prospectus, Supplement, annual and/or semi-annual report and the KIID is in accordance with the known facts and does not omit any material facts.
- 8. Where you are investing as nominee, you shall provide your client(s) with the KIID issued in respect of the Fund and/or Share Class (as the case may be) and shall ensure to do so in compliance with EU Commission Regulation (EU) No. 583/2010, as amended from time to time, or by any supplemental legislation and in compliance with the applicable legislation in any jurisdiction in carrying out this activity. You shall maintain records of KIID provision to your clients and shall furnish such records to the Fund, or its delegates, upon request.
- 9. You have sufficient knowledge and experience in business and financial matters or have obtained advice from a professional adviser such that you are capable of evaluating the merits, and the risks, of an investment in the Fund.
- 10. The risk and tax disclosures in the Prospectus are of a general nature and are not intended to address individual circumstances or how they might affect the tax consequences of any purchase of Shares. These disclosures should not be relied on to determine the appropriateness and suitability of an investment in Shares for you and you have undertaken your own evaluation and obtained advice as needed on such matters.
- 11. You are able to bear the economic risk of an investment in the Shares, including, without limitation, the loss of all or part of the investment. You do not have an overall commitment to investments which are not readily marketable that is disproportionate to your net worth, and your investment in the Shares will not cause such overall commitment to be excessive.
- 12. The Fund has the right at any time to require the mandatory redemption of Shares in the circumstances

- provided for in the Prospectus.

 13. The Fund, the Manager and the Administrator are each hereby authorised and instructed to accept and execute any instructions, in respect of the Shares to which this application relates, given by you in written form or by facsimile. You hereby further agree to indemnify the Fund against any loss of any nature whatsoever arising as a result of the Fund, the Manager or the Administrator acting upon such instructions. The Fund, the Manager and the Administrator may rely conclusively upon, and shall incur no liability in respect of, any action taken upon any notice,

- consent, request, instruction or other instrument believed in good faith to be genuine or to be signed by properly authorised persons.
- 14. Due to anti-money laundering requirements operating within Ireland, the Fund, the Manager or the Administrator may require further identification of the applicant(s) before the application can be processed and the Fund shall be held harmless and indemnified against any loss arising as a result of a failure to process the application, or a delay in processing any redemption requests, if such information requested by the Fund, the Manager or the Administrator has not been provided by you or has been provided in incomplete form.
- 15. Any failure to provide complete identification and verification documentation at the account opening stage will result in your account being blocked for redemptions pending receipt of the outstanding documentation. You acknowledge that you may be classified as a non-compliant investor. Furthermore, where such documentation remains outstanding for 120 days from the date of the initial subscription you acknowledge that all transactions including additional subscriptions will be blocked pending receipt of the outstanding documentation. You accept that the Fund may ultimately suspend your account and discontinue any business relationship with you in the event of a continuing failure to provide the required documents.
- 16. Any failure to provide complete identification and verification documentation upon request at any other stage during the course of the business relationship will result in your account being blocked for redemptions pending receipt of the outstanding documentation. You acknowledge that you may be classified as a non-compliant investor. Furthermore, where such documentation remains outstanding for 120 days from the date of the initial request for documentation all transactions, including additional subscriptions, will be blocked pending receipt of the outstanding documentation. You accept that the Fund may ultimately suspend your account and discontinue any business relationship with you in the event of a continuing failure to provide the required documents.
- 17. The Fund shall have no obligation to carry out any investigation with respect to the truth, accuracy or completeness of any information provided by you and you agree to indemnify the Fund against any loss of any nature whatsoever arising to you as a result of any breach or inaccuracy in any of the statements made by you in this Application Form. You authorise the Fund, the Manager and the Administrator to the Fund to act upon such information in good faith, including, but not limited to, disclosing or submitting such information to the Irish tax authorities. You agree to waive any provision of foreign law that would, absent such a waiver, prevent compliance with such requirements.
- 18. You will notify the Fund, the Manager or the Administrator immediately if any of the representations, declarations or warranties given by you in this Application Form no longer accurate and complete in all respects and agree immediately to take such action as the Fund may direct including, where appropriate, redemption of your entire holding.
- 19. You will provide to the Fund, the Manager and the Administrator, at such times as each of them may request such, declarations, certificates, self-certification forms, withholding statements or documents as each of them may reasonably require (the "Tax Information") in connection with this investment (including for the purposes of tax, FATCA and/or CRS regulations and reporting requirements). Should any information furnished to any of the above become inaccurate or incomplete in any way, you hereby agree to notify the Fund, the Manager or the Administrator immediately of any such change and further agree to request the redemption of Shares in respect of which such confirmations have become incomplete or inaccurate where requested to do so by the Fund.
- 20. If you fail to provide any information and such failure results in the Fund being unable to comply with any withholding or reporting obligations it may have under Irish tax regulations, including those implementing FATCA and CRS requirements, the Fund may exercise its right to deduct amounts of tax due or to redeem your Shares, in whole or in part, at any time upon any or no notice. You further acknowledge and agree to indemnify the Fund and its other investors for any losses resulting from your failure to meet obligations to provide required information to the Fund, including for the cost of any U.S. withholding tax or other penalties imposed on the Fund.
- 21. Any notice or document may be served by the Fund, the Manager or the Administrator on you in the manner specified from time to time in the Prospectus. For the purposes of the Electronic Commerce Act 2000, if you have provided an e-mail address or fax number to the Fund or its delegate, you consent to any such notice or document being sent to you by fax, or electronically to the e-mail address or fax number you have provided, and you acknowledge this will constitute effective receipt by you of the relevant notice or document. You acknowledge that you are not obliged to accept electronic communication and may at any time choose to revoke your agreement to receive communications by fax or electronically by notifying the Fund, or the Administrator on its behalf, in writing provided that your agreement to receive communications by fax or electronically shall remain in full force and effect pending receipt by the Fund of written notice of such revocation.
- 22. In respect of joint applicants, on the death of one of the Shareholders the Shares for which you hereby apply be held in the name of, and to the order of, the survivor or survivors or the executor or administrator of the last of such survivor or survivors.

23. You have read in detail the Data Protection Notice appended to this Application Form. You acknowledge that this document provides an outline of your data protection rights and the Fund's and the Manager's data protection obligations as those obligations relate to your investment in the Fund.

Signature – to be o	ompleted by ALL investors
/ We declare that the information contained in this form he best of my/our knowledge and belief.	and any attached documentation is true and accurate to
Name Signatur	
X	
Signatory Capacity (if applicable)	Date of Signing (dd mm yyyy)
Name Signatur	
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Date of Signing (dd mm yyyy)

Signatory Capacity (if applicable)

Appendix 1 - Investor Tax Declarations

Declaration of Residence outside of Ireland – ALL non-resident Irish Tax investors

Applicants resident outside Ireland are required by the Irish Revenue Commissioners to make the following declaration, which is in a format authorised by them, in order to receive payment without deduction of tax. It is important to note that this declaration, if it is then still correct, shall apply in respect of any subsequent acquisitions of Shares.

Declaration on own Behalf	
I/we* declare that I am/we are* applying for the Shares on my own/our own behalf/on behalf of a company* that I am/we are/the company* is entitled to the Shares in respect of which this declaration is made and that I am/we are/the company is* not currently resident or ordinarily resident in Ireland; and Should I/we/the company* become resident in Ireland I will/we will* so inform you in writing, accordingly *Delete as appropriate	;
Declaration as Intermediary	
 I/we* declare that I am/we are* applying for Shares on behalf of persons: Who will be beneficially entitled to the Shares; and Who, to the best of my/our* knowledge and belief, are neither resident nor ordinarily resident in Ireland. 	ı
 I/we* also declare that; Unless I/we* specifically notify you to the contrary at the time of application, all applications for Shares may by me/us* from the date of this application will be made on behalf of such persons; and I/we* will inform you in writing if I/we* become aware that any person, on whose behalf I/we* hold Share becomes resident in Ireland 	
Name and address of applicant	-
Signature of applicant or authorised signatory (declarant) Capacity of authorised signatory	-
Date:	
2 nd Holder – (joint applicants only)	
Name Signature	-

IMPORTANT NOTES:

- 1. Non-resident declarations are subject to inspection by the Irish Revenue Commissioners and it is a criminal offence to make a false declaration.
- 2 To be valid, the application form (incorporating the declaration required by the Irish Revenue Commissioners) must be signed by the applicant. Where there is more than one applicant, each person must sign. If the applicant is a company, it must be signed by the company secretary or another authorised officer.
- 3. If the application form (incorporating the declaration required by the Irish Revenue Commissioners) is signed under power of attorney, a copy of the power of attorney must be furnished in support of the signature

Return of Values (Investment Undertakings) Regulations 2013 – ALL Irish Tax Resident Investors

The ICAV must collect additional information on Irish tax residents to satisfy the requirements of the Return of Values (Investment Undertakings) Regulations 2013 (S.I. 245 of 2013). Therefore, any individual, company or any unincorporated body of persons which Irish resident or ordinarily Irish resident is must provide a PPS number and a copy of one of the documents listed below as verification.

Tax Reference Number (TRN) / PPS Number

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Any one of the following documents is required to verify the PPS Number (either a copy or the original is sufficient)

- P60
- P45
- P21 Balancing Statement
- Payslip (where employer is identified by name or tax number)
- Drug Payment Scheme Card
- European Health Insurance Card
- Tax Assessment
- Tax Return Form
- PAYE Notice of Tax Credits
- Child Benefit Award Letter /Book
- Pension Book
- Social Services Card
- Public Services Card

In addition, any printed documentation issued by the Revenue Commissioners or by the Department of Social Protection which contain your name, address and tax reference number will also be acceptable.

In the case of joint account holders, the additional documentation is required for each applicant.

Investor Type (please tick the relevant type below) Pension Scheme Company carrying on life business within the meaning of section 706 TCA 1997 Investment undertaking Special investment scheme Unit trust to which section 731(5) (a) TCA 1997 applies Charity (being a person referred to in section 739D(6)(f)(i) TCA 1997)

Qualifying management company
Specified company

Credit union to which Section 2 of the Credit Union Act, 1997 applies

Entitled to exemption from income tax and capital gains tax by virtue of sections

784A(2), and 787I of the TCA 1997, (see further requirements for Qualifying Fund

Manager below)

Declaration referred to in Section 739D(6) Taxes Consolidation Act, 1997: I declare that the information contained in this declaration is true and correct. I also declare that I am applying for the Shares on behalf of the applicant named below who is entitled to the Shares in respect of which this declaration is made and is a person referred to in Section 739D (6) of the Taxes Consolidation Act, 1997, being a person who is (please tick as appropriate):

Additional requirement where the declaration is completed on behalf of a pension scheme, a company carrying on life business within the meaning of section 706 TCA 1997, an investment undertaking, a special investment scheme, a unit trust to which section 731(5) (a) TCA applies, a qualifying management company or a credit union to which Section 2 of the Credit Union Act 1997 applies:

I undertake that, in the event that the Shares cease to be assets of the *pension scheme/company carrying on life business within the meaning of section 706 TCA 1997/investment undertaking/special investment scheme/unit trust to which section 731(5) (a) TCA applies/qualifying management company/credit union to which Section 2 of the Credit Union Act 1997 applies, including a case where the Shares are transferred to another such entity, I will, by written notice, bring this fact to the attention of the investment undertaking accordingly. (*Delete as appropriate)

Additional requirements where the declaration is completed on behalf of a Charity

I also declare that at the time of making this declaration, the Shares in respect of which this declaration is made are held for charitable purposes only and; Form part of the assets of a body of persons or trust related by the Revenue Commissioners as a body or trust established for charitable purposes only, or are, according to the rules or regulations established by statute, charter, decree, deed of trust or will, held for charitable purposes only and are so treated by the Revenue Commissioners.

I undertake that, in the event that the person referred to in paragraph (7) (d) of Schedule 2B TCA 1997 ceases to be a person referred to in Section 739D(6)(f)(i) TCA 1997, I will by written notice, bring this fact to the attention of the investment undertaking accordingly.

Additional requirements where the declaration is completed by a Qualifying Fund Manager

I also declare that at the time this declaration is made, the Shares in respect of which this declaration is made; Are assets of an *approved retirement fund/an approved minimum retirement fund, and are managed by the declarant for the individual named below who is beneficially entitled to the Shares.

Declaration of Residence within Ireland (cont'd)

I undertake that, if the Shares cease to be assets of the *approved retirement fund/the approved minimum retirement fund, including a case where the Shares are transferred to another such fund, I will, by written notice, bring this fact to the attention of the investment undertaking accordingly. (* Delete as appropriate)

Additional requirements where the declaration is completed by an Intermediary

I/we* declare that I am/we are* applying for Shares on behalf of persons who

- to the best of my/our* knowledge and belief, have beneficial entitlement to each of the Shares in respect of which this declaration is made; and
- is a person referred to in section 739D(6) TCA 1997.

I/we* also declare that

- unless I/we* specifically notify you to the contrary at the time of application, all applications for Shares made by me/us* from the date of this application will be made on behalf of persons referred to in section 739D(6) TCA 1997; and
- I/we* will inform you in writing if I/we* become aware that any person ceases to be a person referred to in section 739D(6) TCA 1997. (* Delete as appropriate)

Name of Applicant:	-
Irish Tax Reference Number of Applicant:	
Authorised Signatory:	
Capacity in which declaration is made:	Date:

IMPORTANT NOTES:

- 1. This form does not need to be completed by individuals or joint holders. It is only applicable to Irish-resident entities
- 2. This is a form authorised by the Revenue Commissioners which may be subject to inspection. It is an offence to make a false declaration.
- 3. Tax reference number in relation to a person, has the meaning assigned to it by Section 885 TCA 1997 in relation to a "specified person" within the meaning of that section. In the case of a charity, quote the Charity Exemption Number (CHY) as issued by Revenue. In the case of a qualifying fund manager, quote the tax reference number of the beneficial owner of the Shares.
- 4. In the case of (i) an exempt pension scheme, the administrator must sign the declaration (ii) a retirement annuity contract to which Section 784 or 785 applies, the person carrying on the business of granting annuities must sign the declaration (iii) a trust scheme, the trustees must sign the declaration. In the case of a charity, the declaration must be signed by the trustees or other authorised officer of a body of persons or trust established for charitable purposes only within the meaning of Sections 207 and 208 TCA 1997. In the case of a company, the declaration must be signed by the company secretary or other authorised officer. In the case of a unit trust it must be signed by the trustees. In any other case, it must be signed by an authorised officer of the entity concerned or a person who holds a power of attorney from the entity. If the latter, a copy of the power of attorney should be furnished in support of this declaration.

Appendix 2 - Self-Certification for FATCA and CRS

Investors that are Individuals or Controlling Persons should only complete Part B of this appendix (i.e. the form entitled

"Individual (including Controlling Persons) Self-Certification for FATCA and CRS").

Instructions for completion and Data Protection Notice

We are obligated under the applicable regulations of the local jurisdiction where the Fund is domiciled with respect to the Foreign Account Tax Compliant Act ("FATCA") and Common Reporting Standard ("CRS"), to collect certain information about each Account Holder's tax arrangements. Please complete the sections below as directed and provide any additional information that is requested. Please note that by completing this form you are providing personal information which may constitute personal data within the meaning of the General Data Protection Regulation (Regulation 697/2016/EU). In certain circumstances we may be legally obliged to share this information, and other financial information with respect to an account holder's interests in the Fund, with the relevant tax authorities. They may in turn exchange this information, and other financial information with foreign tax authorities, including tax authorities outside the EU.

The Common Reporting Standard (CRS), formally referred to as the Standard for Automatic Exchange of Financial Account Information, is an information standard for the automatic exchange of information (AEoI), developed in the context of the Organisation for Economic Co-operation and Development (OECD). The standard requires that Financial Institutions in participating jurisdictions gather certain information from account holders(and, in particular situations, also collect information in relation to relevant Controlling Persons of such account holders). Under CRS account holder information (and, in particular situations, information in relation to relevant Controlling Persons of such account holders) is to be reported to the relevant tax authority where the account is held, which, if a different country to that in which the account holder resides, will be shared with the relevant tax authority of the account holder's resident country, if that is a CRS- participating jurisdiction.

Information that may be reported includes name, address, date of birth, place of birth, account balance, any payments including redemption and dividend/interest payments, Tax Residency(ies) and TIN(s). Further information is available on the OECD website: http://oecd.org/tax/automatic-exchange/

If any of the information below about the account holder's tax residence or FATCA/CRS classification changes in the future, please advise of these changes promptly. Mandatory fields throughout are marked with an *

If you have any questions about this form or defining the account holder's tax residency status, please speak to a tax adviser or local tax authority.

For further information and guidance on FATCA or CRS please refer to the following

links: https://www.treasury.gov/resource-center/tax-

policy/treaties/Pages/FATCA.aspx

https://www.irs.gov/Businesses/Corporations/FATCAFAQs

http://www.oecd.org/tax/automatic-exchange/common-reporting-standard/ (CRS

only)

Part A - To be completed by Corporate/Institutional investors only

Section 1: Account Holder Ide	entification						
*Account Holder Name (the "Entity"):							
*Country of Incorporation or Org	janisation:						
*Current Registered Address:							
Number	Street						

*City, Town, State, Province or County
Postal / ZIP code Country
Mailing Address (if different from registered address):
Number Street
City, Town, State, Province or County
City, Town, State, Frovince of County
Postal / ZIP code Country
*Section 2: FATCA Declaration Please tick either a), b) or c) below and complete as appropriate
a) The Entity is a Specified U.S. Person and the Entity's U.S. Federal Taxpayer Identifying number (U.S. TIN) is:
b) The Entity is not a Specified U.S. Person (Please also complete Sections 3, 4 and 5)
c) The Entity is a US person but not a Specified U.S. Person (Please also complete Sections 4 and 5)
Indicate exemption
*Section 3: Entity's FATCA Classification The information provided in this section is for FATCA. Please note your FATCA classification may differ from your CRS classification in Section 5.
3.1 Financial Institutions under FATCA: If the Entity is a <i>Financial Institution with a valid GIIN</i> , please tick one of the below categories and provide the Entity's GIIN at 3.2, or If the Entity is a <i>Financial Institution</i> but is unable to provide a <i>GIIN</i> , please tick one of the categories outlined in section 3.3.
I. Partner Jurisdiction Financial Institution
II. Registered Deemed Compliant Foreign Financial Institution
□ Participating Foreign Financial Institution □
3.2 Please provide the Entity's Global Intermediary Identification number (GIIN)

3.3 If t <i>I.</i>	he Entity is a Financial Institution but unable to provide a GIIN, please tick one of the below reasons: TheEntityhasnotyetobtained a GIIN butissponsored byanotherentitywhichdoeshavea GIIN
	Please provide the sponsor's name and sponsor's <i>GIIN</i> : Sponsor's Name
	Sponsor's GIIN
	Note: This option is only available to Sponsored Investment Entities in Model 1 IGA jurisdictions. Sponsored Investment Entities that do not have U.S. reportable accounts are not required to register and obtain a GIIN with the IRS unless and until U.S. reportable accounts are identified.
II.	The Entity is an Exempt Beneficial Owner (please tick below to confirm the
	category) Government Entity International Organisation
	Foreign Central Bank Exempt Retirement Fund
	Collective Investment Vehicle Wholly Owned by Exempt Beneficial Owners
III.	The Entity is a Certified Deemed Compliant Foreign Financial Institutional (including a deemed compliant Financial Institution under Annex II of the IGA Agreement)
	Indicate exemption
IV.	The Entity is a Non-Participating Foreign Financial Institution
V.	The Entity is an Exempted Foreign Financial Institution
	Indicate exemption
VI.	TheEntityis a Trustee Documented Trust
	Please provide your Trustee's name and <i>GIIN</i> : Trustee's Name
	Trustee's GIIN
	on-Financial Institutions (Non-Financial Foreign Entity/NFFE) under FATCA: Entity is not a Financial Institution, please confirm the Entity's FATCA classification below by ticking one
	below categories
I.	Active (NFFE)
II.	Passive (NFFE) – please tick the box that applies a. Passive (NFFE) with no Controlling Persons that are specified U.S. Persons
	b. Passive (NFFE) with Controlling Persons that are specified U.S. Persons

(if this box is ticked, please also complete section 6.1 for *each* of the Controlling Persons of the Entity and complete Part B (Individual Self-Certification) for *each* Controlling Person(s) as outlined in section 6.2 below)

III.	Excepted (NFFE)
IV.	Direct Reporting (NFFE)
	Please provide your GIIN
ecti	ion 4: Common Reporting Standard ("CRS") Declaration of Tax Residency
	e indicate the Entity's country of tax residence for CRS purposes. If resident in more than one cou
	e all countries of tax residence and their associated tax identification number ("TIN"). Please refer to
	CRS Web Portal for AEOI for more information on Tax Residence and TINs. Entity is not tax resident in any jurisdiction (e.g., because it is fiscally transparent), please indicate
	Flow and provide its place of effective management or country in which its principal office is located.
ount	ry of Tax
eside	ency Tax ID
umb	er
a TIN	I is unavailable, please confirm the reason by ticking one of the options below:
	n A - The country/jurisdiction where the Account Holder is resident does not issue TINS or TIN
•	lents to its residents
	n B - The Account Holder is otherwise unable to obtain a TIN (Please explain why you are unable to
	a TIN) Reason C – No TIN is required. (Note: This should only be selected if the domestic law of the
elevar	nt country/jurisdiction does not require the collection of the TIN issued by such country/jurisdiction
ount	ry of Tax
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umb	ei -
	Alternative Wall Inc. of the control
	N is unavailable, please confirm the reason by ticking one of the options below: n A – The country/jurisdiction where the Account Holder is resident does not issue TINS or TIN
	lents to its residents
•	n B – The Account Holder is otherwise unable to obtain a TIN (Please explain why you are unable to
	a TIN) Reason C - No TIN is required. (Note: This should only be selected if the domestic law of the
	nt country/jurisdiction does not require the collection of the TIN issued by such country/jurisdiction
ount	ry of Tax
eside	ency Tax ID
lumb	er
i a TIN	I is unavailable, please confirm the reason by ticking one of the options below:
	n A – The country/jurisdiction where the Account Holder is resident does not issue TINS or TIN
	lents to its residents
Reaso	n B - The Account Holder is otherwise unable to obtain a TIN (Please explain why you are unable to

obtain a TIN) Reason C – No TIN is required. (Note: This should only be selected if the domestic law of the relevant country/jurisdiction does not require the collection of the TIN issued by such country/jurisdiction

*Section 5: Entity's CRS Classification

Please note an Entity's CRS classification may differ from its FATCA classification. In addition, the information that the Entity has to provide may differ depending on whether it is resident in a participating or non-participating CRS Jurisdiction. For more information please see the OECD CRS Standard and associated commentary. http://www.oecd.org/tax/automatic-commentaries/#d.en.345314.

5.1 Financial Institutions under CRS:

If the Entity is a *Financial Institution*, **Resident in either a Participating or Non-Participating CRS Jurisdiction** please review and tick one of the below categories that applies **and** specify the type of Financial Institution below.

I.	A Reporting Financial Institution resident in a participating CRS jurisdiction	
II.	A Financial Institution Resident in a Non-Participating Jurisdiction (Please also tick the box that a	oplies)
	An Investment Entity resident in a Non-Participating Jurisdiction and managed by another Financial Institution (If this box is ticked, please indicate the name of any Controlling Person(s) of the Entity in section 6 below and complete a separate individual self-certification form for each of your Controlling Persons)	
	An Investment Entity resident in a Non-Participating Jurisdiction that is not managed by another Financial Institution Other	
	Financial Institution, including a Depository Financial Institution, Custodial Institution or Specified Insurance Company	
II.	Non-Reporting Financial Institution under CRS. (Please also tick the box that applies)	
	Governmental Entity	
	International	
	Organization Central	
	Bank	
	Broad Participation Retirement Fund Narrow Participation Retirement Fund	
	Pension Fund of a Governmental Entity, International Organization, or Central Bank Exempt Collective Investment Vehicle	
	Trust whose trustee reports all required information with respect to all CRS Reportable Accounts Qualified Credit Card Issuer	
If th	Non-Financial Institution (Non-Financial Foreign Entity/NFE) under CRS: e Entity is not defined as a Financial Institution under CRS, please tick one of the below categories firming if you are an Active or Passive NFE. Active NFE – a corporation the stock of which is regularly traded on an established securities replease provide the name of the established securities market on which the corporation is regularly traded	
II.	Active NFE – if you are a Related Entity of a regularly traded corporation. Please provide the name of the regularly traded corporation of which the Entity is a	
	r lease provide the hame of the regularly traded corporation of which the Entity is a	
	Related Entity Please provide details of the securities market on which the Entity is	
	regularly traded	

Active NFE – a Government Entity or Central Bank

III.

IV.	Active NFE – an International Organisation			
V.	Active NFE – other than those listed in I, II, III or IV above. (for example, a start-up NFE or a non-profit NFE)			
VI.	Passive NFE – If this box is ticked please also complete Section 6.1 for each of the Controlling Person(s) of			
	the Entity and a separate "Individual (including Controlling Person's Self-Certification for FATCA and CRS form" as indicated in section 6.2 for each Controlling Person(s)			
Section	on 6: Controlling Persons			
	Controlling Person must also complete an Individual Self-Certification form. are no natural person(s) who exercise control of the Entity, then the Controlling Person will be the natural			
person	(s) who hold the position of senior managing official of the Entity.			
	ther information on Identification requirements under CRS for Controlling Persons, see the Commentary tion VIII of the CRS Standard. http://www.oecd.org/tax/automatic-exchange/common-reporting-standard/			
6 1 Na	me(s) of all Controlling Person(s) of the Account Holder			
If you	have ticked a Passive NFE with Controlling Persons in either the FATCA or CRS Classification			
accour	as above, then please also complete this section for each of the Controlling Person(s) of the at holder and provide a separate "Individual (including Controlling Persons) FATCA and CRS Self-			
Certific	eation" form for each Controlling person as per 6.2 below			
I. Cont	rolling Person 1			
II. Con	trolling Person 2			
III. Cor	ntrolling Person 3			
	In case of a trust, Controlling Persons means the settlor(s), the trustee(s), the protector(s) (if any), the ciary(ies) or class(es) of beneficiary(ies), AND any other natural person(s) exercising ultimate effective			
control	over the trust. With respect to an Entity that is a legal person, if there are no natural person(s) who			
	se control over the Entity, then the Controlling Person will be the natural person who holds the position of managing official of the Entity.			
6.2 Co	mplete a separate "Individual (including Controlling Persons) Self-Certification for FATCA and CRS" form			
for	each , , , , , , , , , , , , , , , , , , ,			
	olling Person listed in Section 6.1.			
	on 7: Declarations and Undertakings eclare (as an authorised signatory of the Entity) that the information provided in this form is, to the best of			
my/our	knowledge and belief, accurate and complete.			
I/We acknowledge and consent to the fact that the information contained in this form and information regarding the Account Holder may be reported to the tax authorities of the country in which this account(s) is/are maintained				
and exchanged with tax authorities of another country or countries in which the Account Holder may be tax resident where those countries (or tax authorities in those countries) have entered into Agreements to exchange				
financial account information. I/We on behalf of the Entity undertake to advise the recipient promptly and provide an updated Self-Certification				
form within 30 days where any change in circumstance occurs which causes any of the information contained in				
this form to be incorrect.				
Name				
Signat	ur			

X	
Signatory Capacity (if applicable)	Date of Signing (dd mm yyyy)
Name Signatur	
eX	
Signatory Capacity (if applicable)	Date of Signing (dd mm yyyy)
Part B – To be completed by Individual Investors at Where there are joint account holders each account Certification form. Sections 1, 2, 3 and 5 must be comp Persons. Section 4 should be completed by any Controlling Person of an Investment and managed by another Financial Institution.	holder is required to complete a separate Self- leted by all Individual Shareholders or Controlling erson of a Shareholder which is a Passive Non-
Section 1: Individual/Controlling Person Identific	cation
*Account Holder/Controlling Person Name:	
*Current Residential Address:	
Number Street	
City, Town, State, Province or County	
Postal / ZIP code Coul	ntry
Mailing Address (if different from above):	
Name to a second	
Number Street	
City, Town, State, Province or County	
Postal / ZIP code Coul	ntry

*Place and Date of birth	
*City or Town of birth	
*Date of birth *Country of birth	
Section 2: FATCA Declaration of U.S. Citizenship or U.S. Residence for Tax purpo	ses:
Please tick either (a) or (b) and complete as appropriate.	
(a) I confirm that I am a U.S. citizen and/or resident in the U.S. for tax purposes and federal taxpayer identifying number (U.S. TIN) is as follows:	d my U.S.
(b) I confirm that I am not a U.S. citizen or resident in the U.S. for tax purposes.	
Section 3: Common Reporting Standard (CRS) Declaration of Tax Residency/Residence	dencies
(please confirm all Tax Residencies)	
If resident in more than one country please detail all countries of tax residence and associated ta identification numbers ("TINs).Under the Irish legislation implementing the CRS, a TIN must be	iX
provided unless you are tax resident in a Jurisdiction that does not issue a TIN or you are tax res	ident
in Ireland or the US.	
For further guidance please refer to the OECD CRS Information Portal (http://www.oecd.org/tax/aexchange/).	<u>lutomatic-</u>
Country of Tax	
Residency 1 Tax ID	
Number	
If a TIN is unavailable, please confirm the reason by ticking one of the options below:	
Reason A – The country/jurisdiction where the Account Holder is resident does not issue TINS o	r TIN
equivalents to its residents	
Reason B - The Account Holder is otherwise unable to obtain a TIN (Please explain why you are obtain a TIN) Reason C - No TIN is required. (Note: This should only be selected if the domestic	
relevant country/jurisdiction does not require the collection of the TIN issued by such country/juris	
	L
Country of Tax	
Residency 2 Tax ID	
Number	
Number	
If a TIN is unavailable, please confirm the reason by ticking one of the options below:	
Reason A – The country/jurisdiction where the Account Holder is resident does not issue TINS o	r TIN
equivalents to its residents	
Reason B – The Account Holder is otherwise unable to obtain a TIN (Please explain why you are	
obtain a TIN) Reason C – No TIN is required. (Note: This should only be selected if the domestic relevant country/jurisdiction does not require the collection of the TIN issued by such country/juris	
Tolevant obtaining/jurisdiction does not require the collection of the Thy issued by such country/juris	MICHOIT
Country of Tax	
Residency 3 Tax ID	
Number	

If a TIN is unavailable, please confirm the reason by ticking one of the options below:	

Reason A – The country/jurisdiction where the Account Holder is resident does not issue TINS or TIN equivalents to its residents Reason B – The Account Holder is otherwise unable to obtain a TIN (Please explain why you are unable to obtain a TIN) Reason C – No TIN is required. (Note: This should only be selected if the domestic law of the relevant country/jurisdiction does not require the collection of the TIN issued by such country/jurisdiction								
(ONLY or an I	on 4 – Type of Controlling Person To be completed by an individual who is a Controlling Person of an entity which is a Passive Non-Financi investment Entity located in a Non-Participating Jurisdiction and managed by another Financial Institution) into r multiple Controlling Persons please use a separate Self-Certification form for each Controlling Persons							
Entity	Name							
	confirm the type of Controlling Person applicable under CRS that applies to you/the Account holes the appropriate box	der by						
I.	Controlling Person of a legal person – control by ownership							
II.	Controlling Person of a legal person – control by other means							
III.	Controlling Person of a legal person – senior managing official							
IV.	Controlling Person of a trust – settlor							
٧.	Controlling Person of a trust – trustee	_						
VI.	Controlling Person of a trust – protector							
VII.	Controlling Person of a trust – beneficiary							
VIII.	Controlling Person of a trust – other							
IX.	Controlling Person of a legal arrangement (non-trust) – settlor-equivalent							
Χ.	Controlling Person of a legal arrangement (non-trust) – trustee-equivalent	_						
XI.	Controlling Person of a legal arrangement (non-trust) – protector-equivalent	_						
XII.	Controlling Person of a legal arrangement (non-trust) – beneficiary equivalent	<u> </u>						
XIII.	Controlling Person of a legal arrangement (non-trust) – other-equivalent							

Section 5: Declaration and Undertakings:

I declare that the information provided in this form is, to the best of my knowledge and belief, accurate and complete.

I acknowledge and consent to the fact that the information contained in this form and information regarding the Individual/Controlling Person may be reported to the tax authorities in Ireland and exchanged with the tax authorities of the country or countries in which the Individual/Controlling Person may be tax resident.

I undertake to advise the recipient promptly and provide an updated Self-Certification form within 30 days where any change in circumstances occurs which causes any of the information contained in this form to be incorrect.

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Sig	natu	<u></u>						 					J								
eX																					
Sig	nato	ry Ca	apaci	ty (if	app	olica	ble)			D	ate	of	Sigı	ning	(dd	mn	ı yy	уу)_			
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APPENDIX 3 - Data Protection Notice

The purpose of this document is to provide you with information on our use of your personal data in accordance with the EU data protection regime introduced by the General Data Protection Regulation (Regulation 2016/679, the "Data Protection Legislation")

In this document, "we", "us" and "our" refer to the Fund, the Manager and their affiliates and delegates.

If you are an individual investor, this will affect you directly. If you are an institutional investor that provides us with personal data on individuals connected to you for any reason in relation to your investment with us, this will be relevant for those individuals and you should transmit this document to such individuals or otherwise advise them of its content.

By virtue of making an investment in the ICAV and the associated interactions with the ICAV and its affiliates and delegates (including completing the Application Form, and including the recording of electronic communications or phone calls where applicable), or by virtue of providing the ICAV with personal information on individuals connected with the investor (for example directors, trustees, employees, representatives, shareholders, investors, clients, beneficial owners or agents), such individuals will be providing the ICAV and its affiliates and delegates with certain personal information which constitutes personal data within the meaning of the Data Protection Legislation.

This includes the following information relating to you and/or any individuals connected with you as an investor: name, residential address, email address, contact details, corporate contact information, signature, nationality, place of birth, date of birth, tax identification, credit history, correspondence records, passport number, bank account details, source of funds details and details relating to your investment activity. We may also obtain personal data on you from other public sources.

Where your personal data is provided to the ICAV as a consequence of an investment in the ICAV, the ICAV and the Manager will act as data controllers for the purposes of the Data Protection Legislation and the delegates of the Manager, such as the Administrator, the Distributor and the Investment Managers, will act as data processors. There may also be circumstances where a delegate of the Manager may act as a data controller in its own right.

Purposes of Processing and Legal Basis for processing

- Personal data may be processed on behalf of the ICAV and the Manager and its delegates for the following purposes: to facilitate the opening of an account with the ICAV, the management and administration of a holding of Shares in a Fund and any related transactions and activities on an ongoing basis which are necessary for the administration of an investment in a Fund, including the processing of redemptions, conversions, transfers and additional subscription requests, the payment of distributions and the calculation of fees:
- as part of the monitoring and recording of calls and electronic communications for (i) the processing and verification of instructions from Shareholders and their agents, (ii) the verification of Shareholder identity and prevention of fraud against Shareholders, (iii) for the prevention, detection, investigation and prosecution of other crimes against the ICAV and its Shareholders, (iv) to enable the ICAV and its delegates to enforce or defend the legal rights of the ICAV and its Shareholders, (v) to comply with any other legal obligation imposed on the ICAV or its delegates with regard to the recording of voice or electronic communication, and (vi) to pursue the ICAV's legitimate interests in relation to such matters;
- in order to carry out anti-money laundering checks and related actions which the ICAV or the Manager considers are required to meet any legal obligations imposed on the ICAV and the Manager and to pursue the legitimate interests of the ICAV and the interests of the public in relation to the prevention of fraud, money laundering, terrorist financing, bribery, corruption and tax evasion and to prevent the provision of financial and other services to persons who may be subject to economic or trade sanctions:
- to facilitate the reporting of tax-related information and returns to tax authorities in Ireland or the home domicile of an investor, where required to comply with a legal obligation applicable to the ICAV, and to the authorities in countries where a Fund seeks to invest where such reporting is required to open or maintain an investment account or otherwise ensure a Fund is not subject to withholding tax or other deductions in the absence of such disclosure;
- the compilation and reporting of statistical data which the ICAV or the Manager is under a legal obligation to
 provide in Ireland or any other country in which the ICAV or a Fund is registered for marketing purposes or in
 which a Fund has invested;
- for disclosure of information relating to the ICAV, a Fund or its Shareholders to other third parties, such as investment counterparties and market intermediaries, the providers of audit and tax services, systems, software and technology and regulatory authorities, in order to pursue the legitimate interests of the ICAV and its delegates in maintaining and improving the efficiency and effectiveness of the ICAV's activities and ensuring that that ICAV, each Fund and the delegates of the ICAV remain in compliance with any regulatory

requirements to which it is subject;

- to monitor and record calls for quality, business analysis, training and related purposes in order to pursue the legitimate interests of the Fund in having its delegates monitor and improve the delivery of their services to the Fund and its shareholders;
- where requested by a Shareholder, to make available copies of material relating to the Shareholder and which is used for verification of identity and other anti-money laundering purposes to the delegates of the Manager to facilitate anti-money laundering screening in connection with other investments the Shareholder wishes to make.

Recipients of Data and International Transfer of Data

The ICAV and the Manager may disclose personal data:

- to its service providers, including the Administrator, the Distributor, the Investment Managers, their respective affiliates and other third-party service providers engaged by the ICAV or the Manager in order to process the data for the above- mentioned purposes;
- to competent authorities (including tax authorities), courts and bodies as required by law or requested or to affiliates for internal investigations and reporting.

The disclosure of personal data to the third parties set out above may involve the transfer of data to the USA and other jurisdictions outside the European Economic Area ("EEA"). Any transmission of personal data by the ICAV, Manager or its delegates outside the EEA shall be in accordance with the conditions of the Data Protection Legislation. Such countries may not have the same data protection laws that apply in the EEA. The ICAV and the Manager has authorised the Administrator (and may authorise other delegates) to transfer personal data to other countries for the purposes above only where standard contractual clauses or other safeguards permitted under Data Protection Legislation have been put in place with relevant parties to whom personal data will be transferred. The ICAV, the Manager and their delegates shall apply appropriate information security measures designed to protect personal data in its or its delegates' possession from unauthorised access by third parties or any form of computer corruption. **Retention period**

The ICAV and the Manager will retain personal data for as long as required for the ICAV and the Manager for the purposes set out above, and to comply with any legal obligations to retain Shareholder information for a period of time after a Shareholder has ceased to hold Shares in any Fund. After this point the ICAV, the Manager and its delegates shall take appropriate steps to dispose of any records containing your personal data, to the extent this is operationally feasible and proportionate.

Data Subject Rights

Where the ICAV or the Manger holds personal data, you, as the owner of the data have the following rights, in certain circumstances, in relation to personal data:

- Right to access any personal data held by the ICAV or the Manager
- Right to have any inaccurate personal data rectified
- Right to restrict the use of personal data
- Right to request that personal data is erased
- Right to object to the processing of personal data
- Right to obtain a copy of any personal data held or request its transfer to another data controller (data portability). You also have the right to object to the processing of your data where we have considered this to be necessary for the purposes of our legitimate interests.

You should note that where the ICAV or the Manager requires information, which include personal data, to process an investment in Shares or to comply with anti-money laundering or other legal requirements, failure to provide this information means the ICAV and the Manager will not be able to accept the investment or may have to restrict the liability of a Shareholder to redeem the Shares held, to receive any dividends declared in relation to the Shares or otherwise deal with the Shares as desired.

You also have the right to lodge a complaint with the Office of the Data Protection Commissioner (the Fund's supervisory authority) if you consider that the processing of your personal data by us infringes the Data Protection Legislation.

Personal Data Requests

Should you have any questions about the use of your personal data you may contact the Manager at investorrelations@waystone.com

APPENDIX 4 - Fund Subscription Account Details

The details in this section may be provided to your bank. Please quote the contract number provided on your contract note as reference when instructing payment

Ссу	Intermediary Bank Name and Address	ABA/Sort Code	SWIFT Code	Beneficiary Bank Name	Account Number	Beneficiary Account Name and Number
GBP	Barclays Bank 1 Churchill Place, London E14 5HP	N/A	BARCGB22	The Northern Trust International Banking Corporation, New Jersey	IBAN: GB64BARC2032535352949 5	Dalton Asia Pacific UCITS Fund 628347-20019
EUR	Barclays Bank PLC Frankfurt	N/A	BARCDEFF	The Northern Trust International Banking Corporation, New Jersey SWIFT: CNORUS33	0210472800	Dalton Asia Pacific UCITS Fund 628339-20019
USD	The Northern Trust International Banking Corporation New Jersey	Fedwire ABA: 026001122 CHIPS ABA: 0112	CNORUS33	N/A	N/A	Dalton Asia Pacific UCITS Fund 193540-20010
CHF	Credit Suisse AG Giesshuebelstrasse 30 CH-8070 Zurich Switzerland	N/A	CRESCHZZ8 0A	The Northern Trust International Banking Corporation, New Jersey	CRESCHZZ80A	Dalton Asia Pacific UCITS Fund 628354-20019

For EUR Subscriptions: Please note that as a non-EURO bank, TNTIBC cannot receive or make payments through SEPA (transactions containing an IBAN). Therefore, the EUR SSIs do not contain an IBAN.

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